

# YOUR KWM TEAM



**RICHARD MAZZOCHI**  
Partner, Hong Kong SAR  
Tel +852 3443 1046  
Mob +852 6056 8488  
richard.mazzochi@hk.kwm.com

"Richard Mazzochi is an experienced practitioner par excellence. He is readily accessible and his advice is always to point and he works in a collegiate manner."

*The Legal 500 Asia Pacific, 2025*

"He drives his team to achieve very desirable outcomes even under rather unreasonable time frames. He is a practical, professional and client-oriented financial services expert in this field."

"Richard is very experienced, with great commercial acumen, and he always responds in a timely manner."

*Chambers Greater China Region, 2024*

Richard Mazzochi specialises in banking and finance. He has previously worked in Sydney, Singapore and London.

Richard has extensive experience in a broad range of significant matters including capital markets financing in the European, American and Asian markets; securitisation, repackagings and other structured finance; structured products (incl. funds); derivatives; market regulation (incl. clearing and settlement systems).

He acts for leading arrangers, sponsors, issuers, borrowers, lenders and dealers.

## Representative experience

- International Swaps and Derivatives Association, Inc. (ISDA) since 2014 in relation to the PRC netting and collateral issues, and cross border derivatives and netting projects
- Advising on legal and regulatory issues which arise in the course of domestic and cross-border business in the financial markets including licensing and market regulation
- Acting on the issuance of warrants, CBBCs, equity linked investments and other structured products in Hong Kong including structuring and listing
- Province of British Columbia of Canada: RMB3b issuance of Panda Bonds in China
- World Bank: first 500m Special Drawing Rights / RMB Currency Swap to support the first SDR Bond issuance by The World Bank in the China Interbank Bond Market
- HSBC: Bank of China's inaugural green covered bond offering of US\$500m bonds
- European Investment Bank (EIB): green bonds initiative in Mainland China
- Castle Peak Power Company Limited (CAPCO) (a joint venture between CLP and China Southern Power Grid International on HK\$5.8b loan facilities for the development of an additional gas-fired generation unit at CAPCO's 2,500MW Black Point power station in Hong Kong

- A Hong Kong public utilities company: HK\$1b revolving credit and term loan facilities as part of the group's programme for the decarbonisation of electricity generation in support of the Hong Kong government's goal of achieving carbon neutrality
- Numerous DCM issuers and underwriters: conventional and structured note and bond issues and programs (incl. convertible and exchangeable bonds, EMTN programmes, project bonds and Rule 144A offerings in the US) and commercial paper programs (incl. assisting issuers in listing debt securities on domestic and offshore stock exchanges). Structured issues including inflation-linked bonds, credit-linked notes, participation notes, structured private placements and tax and regulatory capital motivated structured finance (incl. tax deductible capital)
- Advising providers and end-users of interest rate, currency, equity, bond derivatives as well as credit derivatives, CBOs, CLOs, CDOs, repos and securities lending
- Acted for the 16 distributors of Lehman mini-bonds since 2008. Richard has advised bank clients in respect of, and appeared before Legislative Council enquiries.

## Reputation

- Leading Lawyer, Financial Services: Non-contentious Regulatory (International Firms) - Chambers Greater China Region, 2025
- Hall of Fame, Fintech and financial services regulatory, Legal 500 2025
- Leading Lawyer, Financial Services Regulatory - IFLR1000, 2024
- Leading Lawyer, Capital Markets (Debt) - IFLR1000, 2024
- Leading Lawyer, Capital Markets: Securitisation (International Firms) - China - Chambers Asia Pacific and Global, 2024
- Leading Lawyer, Banking - IFLR1000, 2024
- Leading Lawyer, Structured Finance and Securitisation - Legal 500 and IFLR1000, 2024



# 您的金杜法律团队



**马绍基**  
合伙人，香港特区  
电话 +852 3443 1046  
手机 +852 6056 8488  
richard.mazzochi@hk.kwm.com

“马律师是一位经验丰富的优秀律师。他随时可以联系，他的建议总是一针见血，他具有团队精神。”

《亚太法律500强》，2025年

“即使在不合理的时间框架内，马律师也能带领团队取得非常理想的成果。他是该领域务实、专业且以客户为导向的金融服务律师专家。”

“马律师经验丰富，他具有敏锐的商业头脑，而且他总是及时回应。”

《钱伯斯大中华区指南》，2024年

马绍基律师是金杜律师事务所香港办公室的合伙人，并在银行和金融领域执业。此前，他曾在悉尼、新加坡和伦敦工作。

马律师拥有处理各种重大交易的广泛经验，其中包括欧洲、美国和亚洲市场的资本市场融资；证券化、再包装和其他结构化融资；结构化产品（包括基金）；衍生工具；市场监管（包括结算系统）。

马律师的客户包括各领先的安排人、保荐人、发行人、贷款人、借款人和交易商。

### 具有代表性的交易

- 向国际掉期及衍生工具协会（ISDA）提供就中国内地衍生工具交易结清净额结算可执行性的建议的更新。
- 为世界银行提供首5亿特别提款权（SDR）/人民币货币互换的法律服务，以支持世界银行在中国银行间债券市场首次发行特别提款权（SDR）计价债券
- 为加拿大不列颠哥伦比亚省办理在中国发行的人民币30亿元熊猫债券项目
- 就以下事项提供法律意见：常规和结构化票据以及债券发行和计划（包括可转换和可交换债券、EMTN计划、项目债券和根据美国证券法案第144A条规定所发行的债券）；商业票据计划（包括协助发行人在国内和境外证券交易所发行债券）；结构化发行包括通胀挂钩债券、信用挂钩票据、参股票据、结构化定向发行、税收和监管资本激励结构化融资（包括税收可抵免资本事宜）
- 为债券和证券受托人、其他代理人 and 托管代理人提供法律服务
- 就权证、牛熊证、股票挂钩投资和其他结构化产品在香港的发行（包括架构和上市）提供法律服务

- 为供应商和终端用户就利率、币种、股票、债券衍生工具以及信用衍生工具、CBO、CLO、CDO、回购和证券借贷提供法律咨询
- 为在金融市场上进行国内和跨境业务过程中出现的法律和监管问题（包括许可和市场监管）提供法律咨询
- 马律师自2008年起开始担任雷曼迷你债券的16个分销商的法律代表。马律师还曾为银行客户就立法会的质询提供法律咨询及与立法会会面

### 声誉及奖项

- 杰出律师，金融服务：非争议性规管事宜，《钱伯斯大中华区》，2025年
- 名人堂，金融科技和金融服务监管，《法律500强》，2025年
- 杰出律师，金融服务/监管，《国际金融法律评论1000》，2024年
- 杰出律师，资本市场（债务），《国际金融法律评论1000》，2024年
- 杰出律师，资本市场 - 衍生工具及结构化融资（中国），《钱伯斯亚太》，2024年
- 杰出律师，银行，《国际金融法律评论1000》，2024年
- 杰出律师，结构化融资及证券化，《法律500强》及《国际金融法律评论1000》，2024年

