



# PRACTITIONER SPEAKERS SERIES

# FINANCE & COMPLIANCE

## Career Opportunities in Financial Compliance

Compliance departments across banks, brokerage firms and asset managers have been expanding in both size and importance over the past few years and they look certain to keep growing for the foreseeable future. Banks, brokerage firms and asset managers across the world are piling more resources into their 'middle office' risk and compliance teams to avoid facing massive fines from regulators. Many high paying jobs, with many senior roles, have been created in financial compliance.

HKU SPACE, Financial Services Development Council, Hong Kong (FSDC) and CFA Institute proudly present the seminar "Career Opportunities in Financial Compliance." Two renowned speakers will share with you the ins and outs of a job in the compliance industry and how to build a successful career in compliance and risk management.

### **Speakers:**

#### **Mr Jeremy Lam**

Partner & Head of Financial Services Practice,  
Deacons; Member of FSDC Policy Research Committee

#### **Ms Josephine Kwan**

Partner, Asset and Wealth Management, PricewaterhouseCoopers;  
Member of FSDC Mainland Opportunities Committee

**Date : 28 / 2 / 2017 (Tuesday)**

**Time : 7:00 - 8:20pm**

**Venue : Rm 204, 2/F, Admiralty Centre, HK**

